IMPLEMENTATION OF PROBABILISTIC APPROACH TO ROCK MASS STRENGTH ESTIMATION WHILE EXCAVATING THROUGH FAULT ZONES

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ABSTRACT

Purpose. The paper addresses the rock mass state estimation while excavating a cross-heading through the area of regional fault “Bohdanivskyi” based on probabilistic approach to assessing the rock strength.

Methods. The boundaries and fault zone extension are specified based on geological service database. This hazardous fault area has been confirmed, and the expected water inflow and methane emission have been identified based on the probe holes drilled ahead of the advancing face. To assess the strength of rocks, the statistical strength theory is used. Numerical simulation is performed using finite element method that is well-tested in geomechanical problems.

Findings. The technique of rock mass strength estimation using structural factor based on statistical strength theory has been implemented to improve the adequacy of mathematical modeling. Numerical simulation of geomechanical processes based on finite element method and Hoek-Brown failure criterion is carried out. The changes of rock stress-strain state while excavating the cross-heading through various sites of the fault zone are determined depending on the level of rock disintegration.

Originality. New regularities of rock mass behavior within the fault area are determined based on developed technique of rock strength assessment considering the rock mass disintegration and watering.

Practical implications. Estimation of rock failure has resulted in designing the combination of support systems comprising metal sets, rockbolts and shotcrete.

Keywords: fault zone, support design, structural factor, rock joints, rock mass strength

1. INTRODUCTION

Western Donbas coal deposit is located in Dnipropetrovsk region of Ukraine and covers an area of 12000 km². It is one of the main suppliers of coal in Ukraine. Numerous faults are located in the western part of the deposit. Mining activity is carried out under complicated geological conditions caused by poor and jointed rocks (Sdvyzhkova, Babets, Kravchenko, & Smirnov, 2015). The main mining enterprise in Western Donbass is “DTEK Pavlohradvuhillia” PJSC Company. Despite unfavorable conditions, the company introduces new technologies as an effort to increase production efficiency (Pivnyak & Shashenko, 2015). Intensive coal mining not only results in increasing mining depth, but also requires expanding the mine field boundaries and involving conserved coal reserves. These problems are associated with excavating through fault zones outlining the mine field.

In geomechanics, such problems as fracturing, heterogeneity and fault zones are solved by introducing correction factors that reduce the design strength. In particular, the so called structural factor ($k_c$) is regulated by normative documents and is widely used by mining engineers in Ukraine (SOU 10.1-00185790-002-2005, 2005). This factor was introduced as the ratio of heterogeneous rock mass strength characteristic and a value of strength characteristic obtained during laboratory testing of rock specimens. A quantitative estimate of this factor can be made on the basis of a probability model of rock mass strength. According to the probability approach rock mass is treated as an aggregate comprising structural elements whose physical and mechanical properties are random values distributed according to a certain probability law (Hahn & Shapiro, 1994).

On the other hand, rating systems are widely used to estimate the design rock mass strength. For instance, Hoek’s Geological Strength Index (GSI) based on geological parameters is well proved to adjust parameters of jointed rock to the values appropriate in situ (Marinos & Hoek, 2000).
Both approaches were used to determine the design rock mass strength for numerical simulation of the stress-strain state while excavating the cross-heading through the fault zone, which resulted in designing the combination of support systems comprising metal sets, rockbolts and shotcrete.

2. METHODS

2.1. Geological profile of regional fault “Bohdanivskyi”

As the thickness of the coal seams varies from 0.1 to 1.5 m, they can be classified as “thin seams” (Nahornyi, Nahornyi, & Prykhodchenko, 2005). The distance between the seams varies from 4.6 up to 40 – 60 m. The depth of coal seam below ground surface varies from 50 up to 900 m. The coal formation is characterized by monoclinal bedding with an angle of inclination between 1 to 5°.

According to the mine survey service, the fault “Bohdanivskyi” has north-western strike that coincides with the strike of the lower carboxylic strata. The fault has a dip angle from 45 to 60° throw height $H = 270$ m (Fig. 1). The width of the disturbed zones near the fault reaches up to 85 m.

Fault zones always present special challenges while excavating because rock displacements can progress dramatically due to excessive overloading (Dychkovskyi et al., 2018; Nadutyi, Tytov, & Cheberiachko, 2018; Tytov, Haddad, & Sukhariev, 2019) ground water inflows (Zhang, Jiang, Zhou, Yang, & Xiao, 2013; Bomba et al., 2018) and gas drainage (Law et al., 1998; Bondarenko, Kharin, Antoshchenko, & Gasyuk, 2013). Recently, there has not been any experience in a roadway driving through such zones in terms of Western Donbas geological conditions (Khalymendyk & Baryshnikov, 2018). That is why designing an appropriate support system as well as a sequence of excavating and support installing to provide the long-term stability of underground structure is a major issue.

Development of a new site for reserved coal mining started with excavating a cross-heading through the fault “Bohdanivskyi” from the actual coal field of the “Samaraska” mine to the reserved field of the “Zakhidno-Donbaska” mine. The cross-heading of an arched shape 5.14 m wide and 4.1 m high is being excavated through weak rock mass represented by siltstones, mudstone and sandstone. Mudstones and siltstones of Western Donbass are classified as poor and unstable rocks according to the classification adopted in Ukraine (Prykhodchenko, Sdvyzhkova, Khomenko, & Tykhonenko, 2016).

A distinctive feature of the coal deposit geological structure is that coal is strong and ductile with compressive strength of 25 – 35 MPa, while the floor and the roof are composed of weak jointed rocks with compressive strength 20 – 25 MPa. When exposed to water they lose 50 – 80% of their strength. Floor heaving often occurs during excavation (Solodyankin, Hryhoriev, Dudka, & Mashurka, 2017).

The fault zone is considered to be the structure that is heavily jointed and disintegrated. Hoek, Wood and Shah (Hoek, 2002) note that fault zones are generally less permeable than the surrounding rock mass. There is a chance that a large volume of water may be trapped behind the face. The weak fault materials and the presence of water can result in squeezing or flowing ground conditions.

Taking into account the challenges mentioned above, the entire heading route was divided into sections, depending on the fault zone proximity (Fig. 2).
Segment 3 is the most dangerous excavating site (fault zone) that is 17 m wide. Segment 2 and Segment 4 are located before the fault zone and after it. These sites are 39 and 32 m wide, and each is a hazardous area as well. Rock state monitoring starts when the cross-heading face approaches Segment 1 which is 38 m wide. This site is not considered hazardous but requires increased attention. Drilling of two probe holes ahead of the advancing face is necessary to specify the fault zone borders. One of the holes, 50 m long, is drilled into the excavation roof at an angle of 45° (Fig. 3). Another hole, 45 m long, is drilled horizontally in the face center at a distance of 1.5 m from the excavation floor. Before drilling, the road-heading machine should be pushed back at a distance of 4 m, so that rock drilling machine can be installed. The volume of return water as well as the character of the chippings is monitored during the drilling in order to avoid uncontrolled deformation of the heading and install the support of appropriate capacity.

**Figure 3. Drilling two probe holes ahead of the advancing face (longitudinal section of the cross-heading)**

### 2.2. Rock mass mechanical characteristics Estimation

To predict the rock mass behavior at various stage of excavating, the preliminary numerical simulation should be carried out taking into account all possible changes in rock structure (Vladyko, Kononenko, & Khomenko, 2012; Pivnyak, Dychkovskyi, Smirnov, & Cherednichenko, 2013; Pivnyak, Dychkovskyi, Boybilyov, Cabana, & Smoliński, 2018). To apply adequate mathematical modeling (Shashenko, Gapieiev, Solodyankin, 2009; Olevskyi & Olevska, 2018) for studying the stress-strain state of surrounding rocks, we need a correct estimation of mechanical properties.

In (Babets, Sdvzychkova, Larionov, & Tereshchuk, 2017), the extensive analysis of different approaches to rock heterogeneity and its effect on mechanical properties concerning numerical simulation was carried out. The relationship between structural factor (widely used in Ukraine) and Geological Strength Index was established and considerable influence of discontinuities condition and the type of filler between joints on mechanical properties of a rock mass have been shown.

According to statistical strength theory, the rock mass is considered as a unit comprising different structural elements. The compressive strength of each structural element is supposed to be a random variable \( \sigma \) submitted to some probability distribution \( F(\sigma) \). In (Babets, 2018), a hypothesis of lognormal distribution of structural elements strength was put forward.

In this case, an expression for structural factor looks like:

\[
\begin{align*}
\exp \left( \arg F_0(1-p) \cdot \sqrt{\ln \left( \eta^2 + 1 \right)} \right) \\
\frac{1}{\sqrt{\eta^2 + 1}},
\end{align*}
\]

where:
- \( \eta \) – a variation of a random sample obtained in laboratory compressive strength test;
- \( \arg F_0(1-p) \) – an argument of standardized normal distribution function \( F_0 \);
- \( p \) – a confidence level.

Hence, the structural factor depends on the variation \( (\eta) \) that accounts for natural heterogeneity of rocks. According to the research conducted in (Babets, 2018), this variation could be “corrected” to account for the effect of joints.

The distance between joints, their orientation and discontinuity conditions can be taken into account using joints’ effect coefficient. This coefficient of \( k^\text{th} \) order \( (K_j) \) corrects initial and central statistic moments of random distribution. It is determined by the expression:

\[
K_j = \frac{l_r + l_0 f^k (\alpha)}{l_1 (1 + f(j)) + l_0},
\]

where:
- \( f(\alpha) \) – a function that describes orientation of joints’ effect (angle \( \alpha \));
- \( f(j) \) – a function that reflects the decrease of strength due to poor discontinuity surface quality;
- \( l_r \) – a distance between joints in a rock mass;
- \( l_0 \) – a linear size of rock specimen tested under laboratory conditions.

The improved variation of the “corrected” random sample of mechanical characteristic is determined by the expression:

\[
\eta' = \sqrt{\frac{K_{j2}}{K_{j1}}} \left( \eta^2 + 1 \right)^{1/2}.
\]

Therefore, according to the probabilistic-statistical model based on logarithmic-normal random distribution, the structural factor is obtained by substitution of the “corrected” variation (Eq. 3) in Equation 1.
3. RESULTS AND DISCUSSION

3.1. Implementation of the probabilistic approach in structural factor calculation

Lithological composition of the coal seam C_{10} roof and floor is heterogeneous (Sdvyzkova, Babets, & Smirnov, 2014). The geological data and the results of design rock strength estimation for various segments are represented in Tables 1 – 3. Discontinuity surveys on borehole cores and in situ observation (Fig. 4) allowed estimating distance between joints, their orientation and discontinuity conditions.

<p>| Table 1. Rock mass strength parameters and geological data (Segment 1 &amp; Segment 5) |
|-----------------------------------------------|-------------------------------|----------------|----------------|----------------|----------------|</p>
<table>
<thead>
<tr>
<th>Rock</th>
<th>Mean value of intact comp. strength, ( \overline{R_c} ), MPa</th>
<th>Variation of random sample, ( \eta )</th>
<th>“Corrected” variation, ( \eta' )</th>
<th>Structural factor, ( k_c )</th>
<th>Rock mass strength, ( R_c ), MPa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claystone</td>
<td>25</td>
<td>0.35</td>
<td>0.1</td>
<td>0.58</td>
<td>0.4</td>
</tr>
<tr>
<td>Siltstone</td>
<td>20</td>
<td>0.33</td>
<td>0.16</td>
<td>0.62</td>
<td>0.34</td>
</tr>
</tbody>
</table>

<p>| Table 2. Rock mass strength parameters and geological data (Segment 2 &amp; Segment 4) |
|-----------------------------------------------|-------------------------------|----------------|----------------|----------------|----------------|</p>
<table>
<thead>
<tr>
<th>Rock</th>
<th>Mean value of intact comp. strength, ( \overline{R_c} ), MPa</th>
<th>Variation of random sample, ( \eta )</th>
<th>“Corrected” variation, ( \eta' )</th>
<th>Structural factor, ( k_c )</th>
<th>Rock mass strength, ( R_c ), MPa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claystone</td>
<td>25</td>
<td>0.35</td>
<td>0.35</td>
<td>0.89</td>
<td>0.210</td>
</tr>
<tr>
<td>Siltstone</td>
<td>20</td>
<td>0.33</td>
<td>0.39</td>
<td>0.90</td>
<td>0.208</td>
</tr>
</tbody>
</table>

<p>| Table 3. Rock mass strength parameters and geological data (Segment 3) |
|-----------------------------------------------|-------------------------------|----------------|----------------|----------------|----------------|</p>
<table>
<thead>
<tr>
<th>Rock</th>
<th>Mean value of intact comp. strength, ( \overline{R_c} ), MPa</th>
<th>Variation of random sample, ( \eta )</th>
<th>“Corrected” variation, ( \eta' )</th>
<th>Structural factor, ( k_c )</th>
<th>Rock mass strength, ( R_c ), MPa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claystone</td>
<td>25</td>
<td>0.35</td>
<td>0.45</td>
<td>0.99</td>
<td>0.11</td>
</tr>
<tr>
<td>Siltstone</td>
<td>20</td>
<td>0.33</td>
<td>0.45</td>
<td>0.98</td>
<td>0.12</td>
</tr>
</tbody>
</table>

Laboratory tests on claystone and siltstone cores, retrieved by the exploratory boreholes, revealed that the intact rock strength varies in 30 – 35% with respect to the mean value.

The values of design rock mass strength are necessary components for numerical simulation for each segment. The obtained values show good correlation with corresponding values calculated using GSI system, so they could be applied in finite element method simulation using RocScince software (Hoek, Carter, & Diederichs, 2013).

3.2. Numerical (FEM) simulation of the cross-heading advance

The key indicator of rock mass state is an area of rock failure (area of rock yielding) formed due to the stress redistribution (Khomenko, 2012). A configuration and dimension of the yielding area are the main parameters that cause the support loading. This area should be determined based on adequate strength theory that reflects realistically the rock behavior under given conditions. We use Hoek-Broun failure criterion well proven in geomechanical calculation (Eberhardt, 2012):

\[
\sigma_1 = \sigma_3 + \sqrt{mR_c\sigma_3 + sk_c^2}, \tag{4}
\]

where:

- \( m \) and \( s \) – constants depending on the rock mass genesis;
- \( R_c \) – uniaxial compressive strength of the intact rocks that was reduced by the structural factor (1);
- \( \sigma_1 \) and \( \sigma_3 \) – major and minor principal stresses at failure (Shah & Shroff, 2003).

RS3 RocScince software embodying the well-known finite element method (FEM) is used to simulate three-dimensional rock stress-strain state (Kolosov, Bilous, Tantsura, & Onyshchenko, 2018) near the cross-heading face and RS2 (PHASE2) is applied to study the two-dimensional stress-strain state in plane cross-sections.

Initial stresses are determined considering excavation depth \( H = 170 \text{ m} \) below the surface and average gravity \( \gamma = 25 \text{ kN/m}^3 \). Additional safety factor \( K_s = 1.8 \) should be put into calculation according to (SOU 10.1-00185790-002-2005, 2005). It is assumed that the in situ stress field is hydrostatic and the initial stress field is given by the components:

\[
(\sigma_x)_0 = (\sigma_y)_0 = (\sigma_z)_0 = \gamma \cdot H \cdot K_s = 7.68 \text{ MPa}. \tag{5}
\]

A three-dimensional analysis carried out under conditions of Segment 1 shows that the total displacements of the cross-heading face are 0.09 m (Fig. 5) that is less than the displacements in the walls (0.20 – 0.22 m) and this suggests that no special measures will be required in order to consolidate the face during excavation, but support should be installed immediately behind the tunnel face. Maximum displacements occur at a distance of 12.5 m from the face.

Conditions of a plane strain state are embodied there and two-dimensional analysis is appropriate (Bondarenko, Kovalevs’ka, & Fomychov, 2012). To simulate this effect using the 2-dimensional model, we apply a distributed load to the inside area of the cross-heading boundary, which equals the initial stress distributed along the excavation boundary (in the given case it is 7 MN/m^2). Then the distributed load gradually decreases through 14 stages up to zero.

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The deformation, at which the support is installed, is 20% of the final deformation. This corresponds to stage 5 (i.e. 80% of the applied distributed load).

The installation of arched steel support is simulated. A frame metal support shaped like a pavilion (KSHPU) with a wide range of dimensions is the most applicable in Ukraine. Each steel frame has three sections made of a beam of a special cross-section (SVP) and two yielded elements. In the given case we apply the type KSHPU-15 made of beams with SVP-27 profile. The properties of a steel line are defined according to the conception of an “equivalent cross-section” (Carranza-Torres & Diederichs, 2009).

Simulating the surrounding rock behavior in Segment 1 gives the height of the yielded zone in the excavation roof in a range of 2.1 – 2.2 m. Axial force $F$ and bending moment $M$ that occur in the steel line elements are presented in Figure 6. Maximum values are $F_{\text{max}} = 0.44$ MN; $M_{\text{max}} = 0.025$ MN m. A bearing capacity of the metal line is defined by yielding strength of steel ($R_y = 255 – 295$ MPa for the St. 5 steel grade) and an area of a beam cross-section ($S = 0.003465$ m$^2$ for SVP-27 beam type). Then calculation of critical values of axial force and bending moment for the given type of support yields $F_{\text{cr}} = 0.88$ MN and $M_{\text{cr}} = 0.028$ MN m respectively.

Therefore, the support represented only by steel frames is close to exceeding the bearing capacity in terms of bending moments. That is why rockbolts are applied additionally: 9 rockbolts with a length of 2.5 m are installed along the arch and 2 rockbolts with a length of 1.5 m reinforce the excavation sides (Kovalevs’ka, Symanovych, & Fomychov, 2013; Prykhodko & Ulanova, 2018; Tereshchuk, Khoziaikina, & Babets, 2018; Bondarenko, Symanovych, Kicki, Barabash, & Salieiev, 2019).

Ensuring the excavation stability is especially important when the cross-heading face is entering Segment 2, where the rock mass becomes jointed and disintegrated. Analysis shows that the height of the yielded zone in the excavation roof could extend up to 6.0 – 7.0 m and displacements could progress up to 0.20 – 0.26 m, if the support is not reinforced (Fig. 7). That is why the number of steel frames per meter is doubled at this site (2 frames per meter).

The load on the support remains very large in this case. This results in the axial force and bending moment in the line elements $F_{\text{max}} = 3.07$ MN; $M_{\text{max}} = 0.1$ MN m (Fig. 8) that almost three times exceed the permissible values for given type of the beam profile.

In addition, we should predict that the steel frame installed in jointed and disintegrated rock mass can be loaded irregularly which negatively affects the support stability. We suggest that applying the torcrete provides a more complete contact of the support with the excavation contour. Torcrete mixtures like “Tekhard-T” can be used in a dry process to fill the space between the steel frames and rocks. This ensures stability of the cross-heading while excavating through disintegrated and blocky structures.
Figure 6. Simulation results concerning Segment 1: (a) yielded area in a plane cross-section; (b) axial force in the steel line; (c) bending moment in the steel line

Besides, steel frames should be installed in rock mass which was previously anchored with rockbolts as it was mentioned above. Simulation of the joint work of the steel line, torcrete layer and rockbolts is carried out in Phase 2 software by introducing a two-layer composite with various layer properties as well as with the appropriate profile dimensions.

Analysis shows (Fig. 9) that rock anchoring and shaping of the torcrete layer reduce the maximum axial force in steel line elements to 1.38 MN (compared with the value of 3.07 MN that takes place in the line element without torcrete application). Maximum bending moment decreases significantly – to 0.058 MN·m, which is 1.7 times less than in the case without torcrete application (compared with the value of 0.1 MN·m).
However, despite the positive effect provided by a good contact between the steel line and rock mass, both the axial force and bending moment in line elements exceed the critical values that are permissible for the used kind of a beam profile, so that $F_{\text{max}} = 1.57 F_{\text{cr}}$, $M_{\text{max}} = 2.1 M_{\text{cr}}$. That is why additional activities are required to consolidate the disintegrated and sometimes watered rock mass. We suppose that injections of polymer resins reduce the support loading and displacement progressing. A pressure pipe “Irma” with the anchor function intended for the resin injection in rocks provides strengthening of the heavily jointed rocks in the area of 1.2 – 1.5 m. Two “Irma” anchors are installed in the cross-heading roof while excavating in Segment 2.

When the cross-heading advances and enters the fault zone (Segment 3) the failure (yielded) area in surrounding rocks can extent dramatically up to 9.2 m in height in the excavation roof and displacements can progress up to 0.6 m despite the installation of all support components: steel frame, torcrete layer and rockbolts (Fig. 10).

Considering significant area of failure and extra loading of all support elements in the fault zone, the injection anchors must be installed throughout the contour of the cross-heading including the floor. This creates an area of more consolidated and interlocked mass around the excavation.
Injection of surrounding rocks provides a reduction in the failure area up to 2.0 – 2.5 m and maximum displacements up to 0.05 m (Fig. 11). Axial force and bending moment in steel lining elements are $F_{\text{max}} = 0.67$ MN and $M_{\text{max}} = 0.025$ MN·m that do not exceed the critical values.

### 3.3. Technical features of the project

The stability of the cross-heading in hazardous area preceding the fault zone is ensured by the following activities:

1) installing the steel support of KSHPU-15 type with beams of SVP-27 profile and the installation density of 2 frames per meter;

2) installing the anchor system consisting of 9 rockbolts with a length of 2.5 m fitted along the arch and 2 rockbolts with a length of 1.5 m installed in the excavation sides;

3) shaping the torcrete layer made in dry process using “Tekhard-T” mixture to fill the space between steel frames and rocks;

4) injecting the excavation roof with two-component polyurethane chemicals “Bevidan-Bevidol” using 2 pressure pipes “Irma” with the anchor function.

The stability of the cross-heading while excavating through the fault zone directly (Segment 3) is provided by the activities mentioned above, but in this case the injection anchors must be installed throughout the excavation contour including the floor. This creates an area of more consolidated and interlocked mass around the excavation.

The general view of the supported cross-heading is shown in Figure 12.

Analysis shows that failure area in the excavation floor is even more than in the roof. This means that significant floor heaving should be expected. To prevent the great floor deformation the special construction called “a boot” has been developed. Firstly, the 3 m long beams are laid on the ground close to the heading walls. Construction represented in Figure 12b is fitted to each steel frame of the support with a locking joint. The base of the “boot” should rest against the underlying beam.

It has been mentioned above that faults present special challenges in excavating because they can lead to sudden and uncontrolled collapses. One of the reasons that can be unforeseen is water pressure behind the face. Unexpected breakdown of water and mud in the heading can turn catastrophic. Therefore, other variants of supporting are discussed in case of the hydrogeological situation deterioration.

### 3.4. Monitoring and in situ experience

We have already mentioned above that the cross-heading advance through the fault “Bohdanivskyi” is accompanied by permanent hydrological monitoring. Drilling two probe holes ahead of the advancing face is performed to specify the fault zone borders. One of the holes, 50 m long, is drilled into the excavation roof at an angle of 45º, and another hole, 45 m long, is drilled horizontally in the face center at a distance of 1.5 m from the excavation floor. The volume of return water is monitored during the drilling.

Besides, geomechanical monitoring of the current state of the cross-heading is carried out by visual inspection and instrumental measurements (Małkowski & Ostrowski, 2019). The following characteristics are recorded at the measuring points: vertical and horizontal convergence; the support state; sliding in the lock yielding elements; the state of the face and surrounding rocks, in particular, block formation, joint propagation, chippings, etc. The water inflow and the release of methane are also fixed.
During the entire period of the cross-heading excavation and monitoring, there were no critical states that could result in uncontrolled deformations and collapse. Experience of the cross-heading excavating through the fault “Bohdanivskyi” can be considered successful. Statistical data concerning rock displacements around the excavation were accumulated and processed according to the developed technique (Shcherbakov, Klymenko, & Tymchenko, 2017; Kirichenko, Kulivar, Skobenko, & Khaly menyk, 2019). The histogram in Figure 13 shows, that the decrease in the height and width of the cross-heading along the entire route of its driving does not exceed 0.03 m. This means that all technological measures and activities are justified sufficiently.

4. CONCLUSIONS

1. Excavating a cross-heading through the fault “Bohdanivskyi” presents a special challenge because the rock displacements can progress dramatically due to excessive overload and ground water inflows. The lack of information concerning the material structure in the fault zone and complexity of hydrogeological conditions aggravates the problem.

2. Lack of experience in excavating through the fault zones has given a particular urgency to the simulation of geomechanical processes as the most secure and inexpensive method for predicting rock behavior in hazardous areas.

3. The technique of rock mass strength estimation using structural factor based on statistical strength theory was implemented to improve adequacy of mathematical modeling.

4. Numerical simulation based on finite element method provided the adequate support design.

5. The cross-heading stable state in hazardous area preceding the fault zone is provided by installing the steel support of KSHPU-15 type with beams of SVP-27 profile and the installation density of 2 frames per meter; installing the anchor system consisting of 9 rockbolts with a length of 2.5 m fitted along the arch and 2 rockbolts 1.5 m long installed in the excavation sides; shaping the torcrete layer made in dry process using the “Tekhard-T” mixture to fill the space between steel frames and rocks as well as injecting the excavation roof with two-component Polyurethane Chemicals “Bevidan-Bevidol” using 2 pressure pipes “Irma” with the anchor function. The stable state of the cross-heading while excavating directly through the fault zone is provided by the activities mentioned above, but in this case injection
anchors must be installed throughout the excavation contour including the floor. This creates an area of more consolidated and interlocked mass around the excavation.

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**Реалізація ймовірнісного підходу до оцінки міцності гірських порід при перетині зони великого геологічного порушення**

Д. Бабець, О. Сдывахкова, О. Шашенко, К. Кравченко, Е.К. Кабана

**Мета.** Стаття спрямована на оцінку стану породного масиву при проведенні відкаточного квершлагу через зону великого регіонального геологічного порушення “Богданівський” скид на основі ймовірнісного підходу до оцінки міцності гірських порід.

**Методика.** Межі зони геологічного порушення визначалися із використанням бази даних геологічної служби. Значення очікуваного водотоку та наявність метану визначалися із використанням методу пробного буріння, але ці дані були використані для ілюстрації відсутності метану в даному випадку. Залежність міцності гірських порід від ступеню дезінтеграції порід визначалася на основі оцінки міцності порід, що враховує ступінь дезінтеграції й обводнення породного масиву.

**Результати.** Методика оцінки міцності породних масивів, заснована на статистичній гі перчастоті, була використана для підвищення адекватності математичного моделювання. Виконано чисельне моделювання геомеханічних процесів на основі методу скінчених елементів і критерію міцності Хока-Брауна. Визначено зміни напружено-деформованого стану порід при проведенні відкаточного квершлагу через різні ділянки зони геологічного порушення в залежності від ступеню дезінтеграції порід.

**Наукова новизна.** Встановлені нові закономірності поведінки породного масиву в зоні геологічного порушення на основі оцінки міцності породних масивів. Практична значимість. Адекватна оцінка міцності породного масиву і ступеня його зрушеності дозволила розробити комбіноване кріплення, що включає металеву арку, анкерну систему та шар торкретбетону.

**Ключові слова:** геологічне порушення, кріплення, коефіцієнт структурного ослаблення, тріщинуватість, міцність породного масиву

**Реалізація вероятностного підходу до оцінки проникності горних порід при пересеченні зони кріпленого геологічного нарушения**

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**Цель.** Статья направлена на оценку состояния породного массива при проведении откаточного квершлага через зону крупного регионального геологического нарушения “Богдановский” сброс на основе вероятностного подхода к оценке прочности горных пород.

**Методика.** Границы зоны геологического нарушения определялись с использованием базы данных геологической службы. Значения ожидаемого водопритока и наличие метана определялись с использованием метода пробных бурений, выполненных впереди забоя выработки. Для оценки прочности горных пород использована статистическая теория прочности. Численное моделирование выполнено с использованием метода конечных элементов, хорошо апробированного в задачах геомеханики.

**Результаты.** Методика оценки прочности массива горных пород, основанная на статистической теории прочности, применена с целью повышения адекватности математического моделирования. Выполнено численное моделирование геомеханических процессов на основе метода конечных элементов и критерия прочности Хока-Брауна. Определены изменения напряженно-деформированного состояния пород при проведении откаточного квершлага через различные участки зоны геологического нарушения в зависимости от степени дезинтеграции пород.
Научная новизна. Установлены новые закономерности поведения породного массива в зоне геологического нарушения на основе оценки прочности пород, учитывающей степень дезинтеграции и обводнения породного массива.

Практическая значимость. Адекватная оценка прочности породного массива и степени его нарушенности позволила разработать комбинированную крепь, включающую металлическую арку, анкерную систему и слой топкетбетона.

Ключевые слова: зона геологического нарушения, крепь, коэффициент структурного ослабления, трещиноватость, прочность породного массива